

Open Access and Act on Acceptance:

- what you need to know
- why it's important
- how to do it

Ruth McCaffrey
Research Strategy Coordinator, RDM



Paper accepted for publication

Open Access

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Open Access to Oxford Publications



Act on Acceptance starts here



What do I need to do?

Latest News

Open Access message to researchers from Oxford's PVC (Research & Innovation)

Jul 06, 2016

Act on Acceptance - end of term reminder and deposit tips

Jun 22, 2016

Revised claim process for COAF open access fees

Jun 07, 2016

Paper accepted for publication

Open Access



Comply with funders requirements

Comply with HEFCE's requirements (make paper eligible for REF)

▶ act on acceptance ◀



Why is Open Access Important?

Funder

- Won't count papers when you come to renew

HECFE

- Papers won't be REF returnable

Conflict

Paper accepted for publication

Open Access

Comply with funders requirements

Comply with HEFCE's requirements (make paper eligible for REF)

▶ **act on acceptance** ◀



Two routes to Open Access

Green route

- Paper can be made open access but not immediately
- Maximum embargo is 6 mths (RCUK)
- No cost involved
- Author must self-archive the author accepted manuscript in an open online repository (Europe PMC)



Europe PMC

- A stable, permanent and free-to-access digital archive of the full text, peer-reviewed research publications (and datasets) that arise from research.
- It is funded by 27 funders across Europe, including RCUK, the WT and most UK charities.
- Developed and maintained by the EBI (European Bioinformatics Institute (part of EMBL)

<http://europepmc.org/>

- Different to Pubmed Central – developed and maintained by NCBI (part of NIH)

Europe PMC - <http://europepmc.org/>



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E.g. "breast cancer" HER2 Smith J



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Two routes to Open Access

Green route

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- Maximum embargo is 6 mths (RCUK)
- No cost involved
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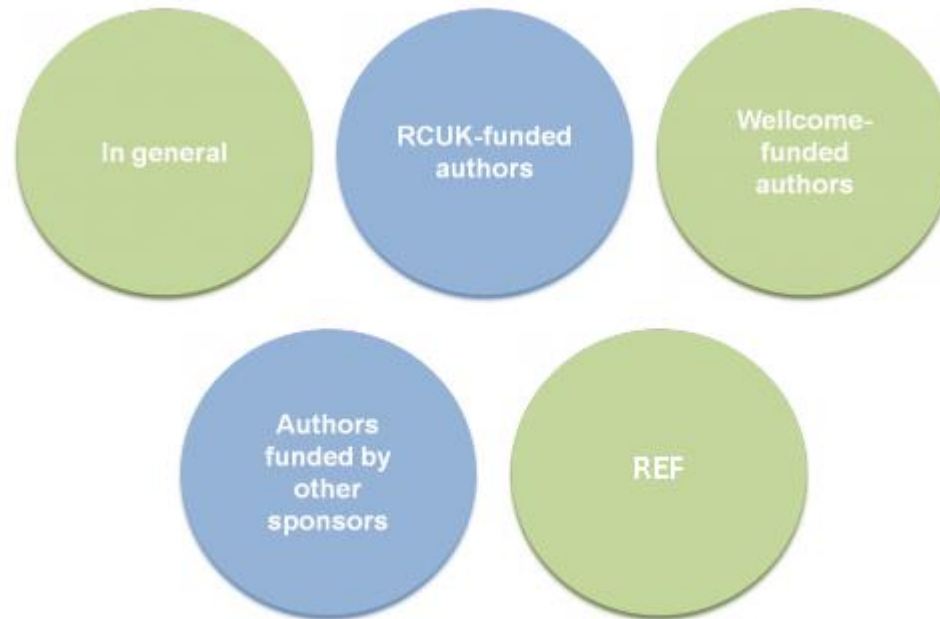


Gold route

- Immediate free access
- No cost to reader
- Publisher does all the work
- Some journals are Open Access e.g. PLOS journals
- Article Processing Charge (APC) paid by author
- CC-BY license



Open Access: What do funders want?



<http://openaccess.ox.ac.uk/home-2/what-do-i-need-to-do/>



Meeting Wellcome Trust Requirements for Open Access at Oxford

[Short summary of the Wellcome Trust Open Access policy](#)[What do I do at Oxford?](#)[FAQ](#)

- If the research underpinning your publication is funded by the Wellcome Trust, you will need to make your paper available open access within six months of the journal publisher's official date of final publication.
- The WT requires electronic copies of any research papers that have been accepted for publication in a peer-reviewed journal, and are supported in whole or in part by Wellcome Trust funding, to be made available through PubMed Central (PMC) and Europe PubMed Central (Europe PMC) within the timescales outlined above.
- The WT encourages – and where it pays an open access fee, requires - authors and publishers to licence research papers using the Creative Commons Attribution licence (CC-BY) so they may be freely copied and re-used (for example, for text- and data-mining purposes or creating a translation), provided that such uses are fully attributed.
- The WT provides grantholders with additional funding, through their institutions, to pay open access charges. **NEW claims procedure and form from November 2015:** Download an [application form](#) and send it to apc@bodleian.ox.ac.uk the publisher's APC or article-charges request form.

Open Access: Funder requirements

RCUK

- Mixed approach
- *Preference for* Gold route: immediate open access, pay APC
- Will accept Green route: freely available in Europe PubMed Central within 6 mths
- Decision lies with researcher and institution

Wellcome Trust & other Charitable Funders

- *Expect* Gold route: immediate open access, pay APC, if journal offers this option
- Will accept Green route: freely available in Europe PubMed Central within 6 mths

Open Access: Funder requirements

Other Funders

NIHR: as soon as possible and within 6 mths – green route

EU: as soon as possible and within 6 mths – green route

Leverhulme Trust: no open access requirements

Paying for Gold Open Access

Include costs in grant application

EU/ERC, DFID

Blocks grants to the University from:

- RCUK
- Wellcome Trust
- Charitable Funders (COAF: Charitable Open Access Fund)
 - Arthritis Research UK
 - Bloodwise
 - Breast Cancer Now
 - British Heart Foundation
 - Cancer Research UK
 - Parkinson's UK

Paying for Open Access: RCUK & WT

- Do not pay the APC yourself
- Download form from <http://openaccess.ox.ac.uk/>
- Email completed form & publisher's form to:
apc@bodleian.ox.ac.uk
- Block grant will pay the APC



Application for Funding from Oxford's RCUK or Wellcome Trust Open Access Block Grant or Fund

Please complete the form and enclose the publisher's APC or article-charges request form for each accepted paper. However, an online link to the publisher's article-charges request form will suffice.

Requests should either be sent to apc@bodleian.ox.ac.uk or you can send them in hard copy to Open Access APC forms, Acquisitions Services, Collections and Resources Description, Bodleian Libraries, Dancy One Building, Dancy Mead, Oxford OX2 0EW.

The University of Oxford's Wellcome Trust and RCUK awards are available on a first come first served basis. Please note that there are no additional supplements. We will request the invoice from the publisher ourselves on your behalf.

Paying for Open Access

Blocks grants to the University from:

- RCUK
- Wellcome Trust
- Charitable Funders (COAF: Charitable Open Access Fund)
 - Arthritis Research UK
 - Bloodwise
 - Breast Cancer Now
 - British Heart Foundation
 - Cancer Research UK
 - Parkinson's UK

Paying for Open Access: COAF

- Pilot project, Oct 2014 – Sept 2016
- Block grant to University,
- Claim procedure was same as for RCUK & WT, but no longer!

COAF is now fully spent (as of June 2016)

- Contact your funder
- Pay the APC invoice via your local divisional admin team
- Send claim to funder for reimbursement

How do you decide on which route: green or gold?

SHERPA/FACT

<http://www.sherpa.ac.uk/fact/>



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SHERPA/FACT is a tool to help researchers check if the journals in which they wish to publish their results comply with their funder's requirements for open access to research.

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Helping you comply with research funders' policies on open access to publications

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Funder(s):

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Funder: British Heart Foundation

Journal: Circulation Research

ISSN: 0009-7330, Publisher: American Heart Association

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✓ You can comply with your funder's policy:

✓ You can publish your article compliantly with open access

Paid open access option available - [Open Access](#) - with a range of Creative Commons licences to be selected or confirmed by the author.
Indicative fee: \$3000-\$4500 per article

✓ You can archive your article compliantly in [Europe PMC/Pubmed Central](#)

This journal has a special arrangement for funders that require archiving of articles.


Gold option

Publishing with open access is preferred, although self-archiving is also acceptable.

Publishing with open access:

You retain the copyright of your article.

To ensure compliance with your funder's policy:

- Confirm that articles are published under a [Creative Commons Attribution \(CC-BY\)](#) or more open licence. 

Your notification of acceptance should invite you to use the open access option. If not, or if already published, contact the journal's editor.

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- Confirm that the special arrangements apply before self-archiving.
 - "If required by funding agency or institutional policy"
- Check [SHERPA/ROMEO](#) for more details

Green option

SHERPA/FACT

Funders & Authors Compliance Tool

Helping you comply with research funders' policies on open access to publications

Funder: Medical Research Council

Journal: Nature Genetics

ISSN: 1081-4036, Publisher: Nature Publishing Group

Wrong journal? [Try Advanced mode](#)

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✗ You cannot publish your article with open access

This is not an open access journal, nor does it have a paid open access option.

Gold option

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This journal has a special arrangement for funders that require archiving of articles.

Green option

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Self-archiving:

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- Confirm that the special arrangements apply before self-archiving.
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- Check [SHERPA/ROMEO](#) for more details

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New query

SHERPA/FACT

Funders & Authors Compliance Tool

Helping you comply with research funders' policies on open access to publications

Funder: Wellcome Trust

Journal: Blood

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ISSN: 0006-4971, Publisher: American Society of Hematology

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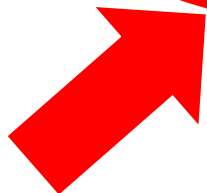
HEFCE & Act on Acceptance (AoA)

From 1 April 2016, all publications must be deposited in a compliant open access repository within 3 mths of being accepted for publication

 **act on acceptance** 

Failure to do so makes your publication ineligible for the next REF!

Act on Acceptance is easy to do!



What this means in Oxford

- Deposit final peer-reviewed text ('Author Accepted Manuscript') into Oxford University Research Archive (ORA) <http://ora.ox.ac.uk>
- Deposit within three months of the date of acceptance
- Full-text must be accessible for anyone to read and download either immediately or after the end of an embargo period
- Applies to all applies to journal articles and conference papers (with ISSN)
- Applies to all academics & researchers employed by University
- Deposit via Symplectic Elements (<https://oxris.ox.ac.uk>)

What is Symplectic Elements?



- University's Research Information System
- Used to prepare data on outputs for the REF
- Can be used to feed publication data to websites
- All researchers now have a Symplectic account
- Single sign on
- Route for depositing full text outputs to ORA

<https://oxris.ox.ac.uk>

<http://www.admin.ox.ac.uk/researchsupport/awards/symplectic/>

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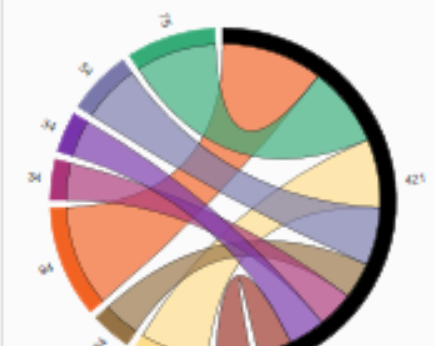
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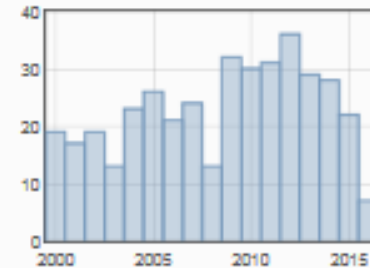
Group	Role
RDM	Research Manager
RDM	Statistician

Co-Authorships at Oxford



Publication History

Publications per year



My Data Sources

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Reference: Barrio, F. Eugenio, Jarvis, Matt J., Rawlings, Steve et al., (2008). A young, dusty, compact radio source within a Ly α halo. *Monthly Notices of the Royal Astronomical Society*, 389 (2), 792-798.

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Title: A young, dusty, compact radio source within a Ly α halo

Abstract: We report here on the discovery of a red quasar, J004929.4+351025.7 at a redshift of $z = 2.48$, situated within a large Ly α emission-line halo. The radio spectral energy distribution implies that the radio jets were triggered $< 10^4$ years prior to the time at which the object is observed, suggesting that the jet triggering of the active galactic nucleus is recent. The loosely biconical structure of the emission-line halo suggests that it is ionised by photons emitted by the central quasar nucleus and that the central nucleus is obscured by a dusty torus with $A_V 3.0$. The large spatial extent of the Ly α halo relative to the radio emission means this could only have occurred if the radio jets emerged from an already established highly-accreting black hole. This

Peer Review status: Peer reviewed

Publication status: Published

Version: Publisher's version

Notes: This article has been accepted for publication in *Monthly Notices of the Royal Astronomical Society* ©: 2008 Barrio et al. Published by Oxford University Press on behalf of the Royal Astronomical Society. All rights reserved.

About The Authors

-  **Barrio, F. Eugenio** [More by this author](#)
-  **Jarvis, Matt J.** [More by this author](#)
-  **Rawlings, Steve** [More by this author](#)
- Bauer, Amanda**
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- ORA staff send you the link to the item in ORA
- Once the article has been published, a link to the publisher website is added by the ORA staff to the ORA record

Things to note

Gold route

- paper is embargoed in ORA until published
- ORA team replace word doc version of paper with pdf version and it's made visible

Green route

- paper is embargoed until end of embargo period
- ORA team make word doc version of paper visible and provide link to publisher website

Queries: ora@bodleian.ox.ac.uk

Important

ORA staff make record available in ORA

As soon as your paper is deposited into ORA, the metadata or bibliographic data becomes immediately available in ORA and appears in searches in Google & Google Scholar.

Summary of AoA

- Act on Acceptance is important for REF eligibility
- Deposit in ORA within 3 mths of acceptance
- Deposit in ORA via Symplectic
- Depositing is easy to do!

Open Access

Comply with funders requirements



- Check journal and funder via SHERPA/FACT
<http://www.sherpa.ac.uk/fact/>
- Green vs Gold route
- Gold route: Payment of APCs & no embargo
- Green route: 6 mth embargo & no costs

Comply with HEFCE's requirements (make paper eligible for REF)

▶ **act on acceptance** ◀



- Deposit paper in ORA within 3 mths of acceptance
- Use Symplectic to deposit
<https://oxris.ox.ac.uk>
- Easy to do
- Essential for REF

And finally

Dr Emma O'Brien

Public Engagement and Communications Officer in RDM

emma.obrien@rdm.ox.ac.uk

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Contact me: Ruth McCaffrey
ruth.mccaffrey@rdm.ox.ac.uk
234649

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