Annual Review of Risk Assessment Made Under: Genetically Modified Organisms (Contained Use) Regulations 2014

Department: Nuffield Division of Clinical Laboratory Sciences Radcliffe Department of Medicine Supervisor: Prof Stephen Hyde CBGM20 Ref No: Title: Genetic Engineering Of Mammalian Cell Lines The Risk Assessment has been reviewed:YES Key aspects: identification of any potentially harmful effects, characteristics of the proposed activity, the severity of any potentially harmful effects, the likelihood of them occurring and disposal of waste and effluent. Appropriate containment measures have been confirmed:......YES Complete attached containment levels/measures table Original containment level and risk classification remain valid: YES Classification and assignment of final control measures: Risk Classification: _____1 What has changed? Updated list of users and information on assessing viral load transferred from CBGM16 **Reviewed By:** Date (YYYY-MM-DD): Prof Stephen Hyde 2025-10-16 **Approved By Genetic Modification Safety Committee** Agreed By One-Of DSO/BSO/HoD: Date (YYYY-MM-DD):

Prof Stephen Hyde – NDCLS BSO 2025-10-29

Approved by Head of Department Date (YYYY-MM-DD):

Prof Deborah Gill – NDCLS HoD 2025-10-29

Next Review Due: Before end 2026

List Of Associated Transgenic Sequences:

Common Reporter Genes: EGFP and similar proteins

Bacterial Proteins

Staphylococcus aureus Cas9 (saCas9) and similar proteins along with associated gRNA and similar sequences.

Target Loci:

Mammalian ion channels/transporters proteins: Cystic fibrosis transmembrane conductance regulator (CFTR), ATP-Binding Cassette, Sub-family A, Member 3 (ABCA3)

Mammalian secreted proteins:

Immuno-globulins surfactant protein A to D (SFTPA-SFTPD) alpha-1 anti trypsin (SERPINA1), Decorin DNAsel TRIM72

Common Gene Editing Reporter Sites: HEK3 Target and similar

Risk Assessment Users & Supervisor During Year To Review Date

Stephen Hyde

Emily Castells (Stephen Hyde)

Marina Cerezuela (Stephen Hyde)

Hamid Dolatshad (Stephen Hyde)

Arlene Glasgow (Stephen Hyde)

Jakob Haldrup (Stephen Hyde)

Kamran Miah (Stephen Hyde)

Eoin Mac Reamoinn (Stephen Hyde)

Aimee Ruffle (Stephen Hyde)

Dwiantari Satyapertiwi (Stephen Hyde)

Shahzaib Tariq (Stephen Hyde)

Gavin Turnbull (Stephen Hyde)

Galina Boskh (Shijie Cai / Stephen Hyde)

Viral Load

For avoidance of doubt: during viral production and transduction, viral particles are anticipated to be present. However, after viral transduction, repeated passage during routine culturing and the inherent instability of the viral particles concerned are anticipated to render any cell culture free from virus.

An Excel spreadsheet has been developed to aid in the calculation of the appropriate number of passage steps required to render a cell line free of input virus. An example of the calculations performed is presented below.

Alternatively, fixation with 3.7% Formaldehyde for 15' for enveloped viruses and 30' for non-enveloped viruses has been experimentally determined to permanently and completely inactivate the respective viral vectors. Thus, any cell culture that has been fixed in this manner may also be assumed to be free of virus. [Seeburg, U., Urda, L., Otte, F., Lett, M. J., Caimi, S., Mittelholzer, C., & Klimkait, T. (2023). Virus Inactivation by Formaldehyde and Common Lysis Buffers. Viruses, 15(8), 1693. https://doi.org/10.3390/v15081693 PMID 37632035]

Residual Viral Load Calculator

10

12

13

15

Residual Viral Load Calculator v01 2009/09/09 Steve Hyde This spreadsheet is used to estimate the remaining virus in a cell culture after transduction with a replication-defective virus **Washing Efficiency** During washing of a cell culture during routine passage, it is a practical impossibility to remove all of the bathing cell culture media Washing efficiency is that proportion of the bathing cell culture media left behind on each wash A conservative default value of 20% of media left behind is suggested Washing Efficiency 20 % **Initial Viral Load** An estimate of the initial viral particle number is required to aid in the caclulation the appropriate number of washes If in any doubt over estimate the amount of virus by several log orders 1.00E+05 Initial Viral Load Safety Margin A target for the overall reduction in viral load is required. A conservative default value of 0.01 (1E-2) viral particles is suggested 1.00E-02 Safety Margin Taget Removal Of Virus During Washing Wash Number Virus Remaing Safety Margin Achieved? 2.00E+04 4.00E+03 No 8.00E+02 1.60E+02 3.20E+01 6.40E+00 1.28E+00 2.56E-01 5.12E-02

1.02E-02 2.05E-03

4.10E-04

8.19E-05

1.64E-05

3.28E-06

6.55E-07

Yes

Yes

Yes

Yes

Yes

Annual Review of Risk Assessment Made Under: Genetically Modified Organisms (Contained Use) Regulations 2014

Department: Nuffield Division of Clinical Laboratory Sciences

Radcliffe Department of Medicine

Supervisor: Prof Stephen Hyde

Ref No: CBGM20

Title: Genetic Engineering Of Mammalian Cell Lines

The Risk Assessment has been reviewed:

YES

Hepler Alyde

Key aspects: identification of any potentially harmful effects, characteristics of the proposed activity, the severity of any potentially harmful effects, the likelihood of them occurring and disposal of waste and effluent.

Appropriate containment measures have been confirmed: YES

Complete attached containment levels/measures table

Original containment level and risk classification remain valid: YES

Classification and assignment of final control measures:

Containment Level: CL1 Risk Classification: 1

Reviewed By:

Date (YYYY-MM-DD):

Prof Stephen Hyde 2024-08-16

Approved By Genetic Modification Safety Committee Agreed By One-Of DSO/BSO/HoD:

Date (YYYY-MM-DD):

Prof Stephen Hyde – NDCLS BSO 2024-10-02

Approved by Head of Department Date (YYYY-MM-DD):

Prof Deborah Gill – NDCLS HoD 2024-10-02

Next Review Due:

Before end 2025

List Of Associated Transgenic Sequences:

Common Reporter Genes: EGFP and similar proteins

Bacterial Proteins

Staphylococcus aureus Cas9 (saCas9) and similar proteins along with associated gRNA and similar sequences.

Target Loci:

Mammalian ion channels/transporters proteins: Cystic fibrosis transmembrane conductance regulator (CFTR), ATP-Binding Cassette, Sub-family A, Member 3 (ABCA3)

Mammalian secreted proteins:

Immuno-globulins surfactant protein A to D (SFTPA-SFTPD) alpha-1 anti trypsin (SERPINA1), Decorin DNAsel TRIM72

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Shahzaib Tariq (Stephen Hyde)

Gavin Turnbull (Stephen Hyde)

Galina Boskh (Shijie Cai / Stephen Hde)

Visiting Students Sanuba Khan (Stephen Hyde) Alice Coffey (Stephen Hyde)

Table 1a Containment measures applicable to contained use involving micro-organisms in laboratories

Con	tainment Measures	Containment Le	vels		
		CL1	CL2	CL3	CL4
Faci	lities				
1	Laboratory suite: isolation ¹		not required	required	required
2	Laboratory: sealable for (fumigation	not required	not required	required	required
Equi	pment				
3	Surfaces impervious to water, resistant to acids, alkalis, solvents, disinfectants and decontamination agents and easy to clean	required for any bench	required for any bench	required for any bench and floor	required for any bench, floor, ceilings and walls
4	Entry to laboratory via airlock ²	not required	not required	required where and to extent the risk assessment shows it is required	required
5	Negative pressure relative to the pressure of the immediate surroundings	not required	not required	required except for activities where transmission does not occur by the airborne route	required
6	Extract and input air from the laboratory must be HEPA filtered	not required	not required	HEPA filters required for extract air except for activities where transmission does not occur by the airborne route	HEPA filters required for input and extract air ³
7	Microbiological safety cabinet/ enclosure	not required	required where and to extent the risk assessment shows it is required	all procedures with infective materials required to be contained within a cabinet/ enclosure	required, and all procedures with infective materials required to be contained within a cabinet/ enclosure
8	Autoclave (required on site	required in the building	required in the laboratory suite ⁴	double ended autoclave required in laboratory

Cont	Containment Measures Containment Levels				
		CL1	CL2	CL3	CL4
	em Of Work				
9	Access restricted to authorised personnel only	not required	required	required	required (via airlock key procedure)
10	Biohazard sign on door	not required	required	required	required
11	Specific measures to control aerosol dissemination	not required	required so as to minimise	required so as to prevent	required so as to prevent
12	Shower	not required	not required	required where and to extent the risk assessment shows it is required	required
13	Protective clothing	suitable protective clothing required	suitable protective clothing required	suitable protective clothing required; footwear required where and to extent the risk assessment shows it is required	complete change of clothing and footwear required before entry and exit
14	Gloves	not required	required where and to extent the risk assessment shows they are required	required	required
15	Efficient control of disease vectors (eg rodents and insects) which could disseminate GMMs	required where and to extent the risk assessment shows it is required	required	required	required
Wast	e				
16	Inactivation of GMMs in effluent from hand- washing sinks and showers and similar effluents	not required	not required	required where and to extent the risk assessment shows it is required	required
17	Inactivation of GMMs in contaminated material and waste	required by validated means where and to extent the risk assessment shows it is required	required by validated means	required by validated means, with waste inactivated within the laboratory suite	required by validated means, with waste inactivated within the laboratory

Conta	ainment Measures	Containment Le	vels		
		CL1	CL2	CL3	CL4
Othe	r Measures				
18	Laboratory to contain its own equipment	not required	not required	required, so far as is reasonably practicable	required
19	An observation window or alternative is to be present so that occupants can be seen	required where and to extent the risk assessment shows it is required	required where and to extent the risk assessment shows it is required	required where and to extent the risk assessment shows it is required	required
20	Safe storage of GMMs	required where and to extent the risk assessment shows it is required	required	required	secure storage required
21	Written records of staff training	not required	required where and to extent the risk assessment shows it is required	required	required

- 1 "isolation" means, in relation to a laboratory, separation of the laboratory from other areas in the same building, or being in a separate building.
- 2 Entry must be through an airlock which is a chamber isolated from the laboratory. The clean side of the airlock must be separated from the restricted side by changing or showering facilities and preferably by interlocking doors.
- Where viruses are not retained by the HEPA filters, extra requirements will be necessary for extract air.
- Where the autoclave is outside the laboratory in which the contained use is being undertaken, but within the laboratory suite, there must be validated procedures for the safe transfer of material into that autoclave, which provide a level of protection equivalent to that which would be achieved by having an autoclave in that laboratory.

Table 1b Containment measures applicable to contained use involving micro-organisms in plant growth facilities (to be read with Table 1a)

Omitted as not relevant to NDCLS activities

Table 1c Containment measures applicable to contained use involving micro-organisms in animal units (to be read with Table 1a)

Omitted as not relevant to NDCLS activities

Co	ntainment Measures	Containment		T	1	Additional /
		CL1	CL2	CL3	CL4	Modification
	cilities					
1	Isolation of animal unit ¹	required where and to extent the risk assessment shows it is required	required	required	required	modification
2	Animal facilities ² separated by lockable doors	required where and to extent the risk assessment shows it is required	required	required	required	additional
3	Animal facilities (cages, etc) designed to facilitate decontamination (waterproof and easily washable material)	required where and to extent the risk assessment shows it is required	required where and to extent the risk assessment shows it is required	required	required	additional
1	Floor, walls and ceiling easily washable	required where and to extent the risk assessment shows it is required	required for floor	required for floor and walls	required for floor, walls and ceiling	Modification
5	Appropriate filters on isolators or isolated rooms ³	not required	required where and to extent the risk assessment shows it is required	required	required	additional
3	Appropriate barriers at the room exit, and at drains or ventilation duct work	required	required	required	required	additional
7	Animals kept in appropriate containment facilities, such as cages, pens or tanks but not isolators	required where and to extent the risk assessment shows it is required	required where and to extent the risk assessment shows it is required	required where and to extent the risk assessment shows it is required	required where and to extent the risk assessment shows it is required	Additional
3	Animals kept in isolators	not required	required where and to extent the risk assessment shows it is required	required	required	modification

 [&]quot;animal unit" means a building, or separate area within a building, containing an animal facility and other areas including changing rooms, showers, autoclaves and food storage areas.
 "animal facility" means a facility normally used to house stock, breeding or experimental animals or one

which is used for the performance of minor surgical procedures on animals.

3 "isolators" means transparent boxes where small animals are contained within or outside a cage; for

large animals, isolated rooms may be more appropriate

Risk Assessment made under the Genetically Modified Organisms (Contained Use) Regulations 2000

(Form GMM – for genetically modified micro-organisms and eukaryotic cell and tissue culture systems)

Department:	Supervisor:	Ref. No: CBGM20		
NDCLS / RDM	Dr Stephen Hyde			
Project Title:				
Genetic engineering of mamma	alian cell lines for maximisation of	viral vector production		
Overview of Project:				
(include aims and objectives)				

The CRISPR/Cas9 system is a versatile tool for genome engineering. The system employs the type II prokaryotic CRISPR adaptive immune system, which uses a guide RNA (gRNA) to target the Cas9 nuclease to a specific 20nt genomic sequence upstream of a "protospacer adjacent motif" (PAM), which can take the form of NGG or NAG (Jinek M, et al., *Science*, 2012 **337**: 816-21). Cas9-induces double-stranded DNA breaks which are repaired either by imperfect nonhomologous end-joining (NHEJ) to generate insertions or deletions ("indels") (Barnes DE, *Curr Biol*, 2001 **11**: R455-7) or, if a repair template is provided, by homology directed repair (HDR) (Ran FA, et al., *Nat Protoc*, 2013 **8**: 2281-308).

We aim to use the CRISPR/Cas9 genome editing technology to knock-out genes that might be involved in viral vector production in order to increase current production levels. The gRNA will target mammalian genes found in the literature that may be either positive or negative factors for viral production.

Custom-designed 20 nt guide sequences targeting genomic regions overlapping with specific mutations observed in mammalian cell lines, will be inserted into suitable vectors such as the pSpCas9(BB)-2A-GFP bicistronic expression vector (PX458) (Addgene; https://www.addgene.org/48138/), which also encodes the Cas9 nuclease. Optionally, such vectors may include standard marker genes and antibiotic selection cassettes, such as GFP, Amp, Puro. Alternative vectors include, but are not limited to, pSpCas9(BB)-2A-Puro (PX459) (48139), pSpCas9n(BB)-2A-GFP (PX461) (48140) and pSpCas9n(BB)-2A-Puro (PX462) (48141).

CRISPR/Cas9/gRNA vectors will be delivered to mammalian cells by transfection, nucleofection or other similar methods. Transfected cells may be sorted by FACS. Successful insertion/deletion mutations may be assessed by Sanger sequencing, Surveyor nuclease assay, targeted next generation sequencing, or restriction enzyme digestion. Cells may be used in other projects, under other risk assessments, for the production of viral vectors.

Give details of	Vector(s):
Recipient/Host(s):	These will include commonly available
(specify if wild type or disabled)	CRISPR/Cas9/gRNA vectors, such as
	pSpCas9(BB)-2A-GFP (PX458) (48138),
Common, laboratory cultured mammalian cell lines.	pSpCas9(BB)-2A-Puro (PX459) (48139),
	pSpCas9n(BB)-2A-GFP (PX461) (48140)
	and pSpCas9n(BB)-2A-Puro (PX462)
	(48141) (all from Addgene)

Normal/expected biological action of inserted DNA/RNA or transcribed/translated gene product:

The bacterial Cas9 is known to cause a double strand break in the genome in the presence of a guide RNA (gRNA). In the absence of gRNA no effect is observed. The expected effect of knocking out of viral restriction factors will be to generate cell lines that when later transiently transfected with the plasmids necessary to generate viral vectors will produce higher viral titres. In the case of essential factors for viral production, they will serve as a proof of concept to show that viral titres can be affected by gene knock-out (i.e. decrease or absence of viral production).

Technique used to introduce insert or vector into host:	
Plasmids will be introduced into host cells using either common to methods such as nucleofection (Amaxa Nucleofection Kit, Lonza	
Assessed By:	
Signature:	Date:
Styler Alaple	16 th July 2014
Risk Assessment approved by Genetic Modification Safety Co	ommittee
Signature:	Date:
Styler Alaple	16 th July 2014
Biological Safety Officer	Dr Stephen Hyde
Downissian growted by Head of Dangutus and for president to be	un dantakan
Permission granted by Head of Department for project to be	undertaken
Signature:	Date
LOS	16 th July 2014
Head Of Department	Prof Kevin Gatter

RISK ASSESSMENT FOR I	HUMAN HEALTH A	AND SAFETY		GUIDANCE
Human health hazard identi	fication – (Identify an	y potential harmful	properties of:)	Potentially harmful effects include:
i) the recipient micro-or E.coli cells that we would be u suppliers such as Oneshot Top human. Minimal hazard from mammal containment level 1.	10 cells which have n	evant vectors are from the control of the control o	om commercial ohysical hazard to	disease to humans – consider all properties which may give rise to harm eg infection, toxins, cytokines, allergens, hormones etc alteration of existing pathogenic traits – consider alteration of tissue tropism or host range, alteration in susceptibility to human defence
iv) the vector The expression vectors are star considered non-pathogenic. We will use the pSpCas9(BB) which also encodes the Cas9 n cassettes, hSpCas9 and the chi a pair of annealed oligos can b include pSpCas9(BB)-2A-Puro (nuclease from Streptoc election cassettes, such Cas9 nuclease to specisiological or pharmaco or normal human defermisms (where used/app nuclease from Streptoc election) and ard laboratory derived a special content of the cloned into the guide of (PX459) (48139), pS PX462) (48141).	as GFP, Amp, Purofic genomic sequen logical properties of nee mechanisms. propriate) roccus pyogenes. ed or commercial purples plasmid contains e vector can be dige e RNA. Current alter process of the process of t	lasmids and are X458) (Addgene), two expression sted using BbsI, and rnative vectors FP (PX461) (48140)	adverse effects resulting from inability to treat disease or offer effective prophylaxis possibilities for any disablement or attenuation to be overcome by recombination or complementation adverse effects resulting from the potential for transfer of inserted genetic material to another microorganism
Brenner Scheme values (COM		d in any case for dis Damage	Overall	
Control measures – Assign Containment Level: 1, for with Good Microbiological P	both bacterial and	mammalian cell		Assign a provisional containment to control the hazards identified above taking account of severity of any consequence and likelihood of harm occurring. Select from 1,2,3 or 4
NATURE OF WORK TO				GUIDANCE

Give brief description of types of laboratory procedures including maximum culture Consider any activities that may volumes at any time (show as multiples of unit volumes) involve risks which require specific additional control measures such For E.coli work The procedures are standard laboratory practice for gene cloning and manipulation. Individual culture volumes will typically be ≤500mL. inoculation of animals or plants with GMMs For Mammalian Cell And Tissue Culture Work the use of equipment or procedures The procedures are standard laboratory practice for mammalian cell and tissue culture. likely to generate aerosols Individual culture volumes will typically be ≤100mL large scale work Provide details of any non-standard laboratory operations None Additional control measures required for specific risks: No known additional control measures are required for health and safety reasons when carrying out experiment

Potentially harmful effects include: disease to animals including allegencia and toxic effects None. No disease or other harmful effects to humans, other animals or plants. disease to animals including allegencia and toxic effects None. The inserts code for the Cas9 nuclease from Streptococcus progress and include standard maker genes or ambitotic selection easestres, such as GFP, Amp and Puro. The gRNA's function it to guidetanged the Cas9 nuclease to specific genomic sequences, linears are not expected to have inserted physiological or pharmacological properties or to affect pathogenicity of cloning host. None. The inserts code for the Cas9 nuclease from Streptococcus progress. None. The inserts code for the Cas9 nuclease from Streptococcus progress. None. The inserts code for the Cas9 nuclease from Streptococcus progress. None. The inserts code for the Cas9 nuclease from Streptococcus progress. None. The resulting genetically modified micro-organism None. The resulting GMO's and mammalian cells are not expected to have any significant risk compared to those of the unmodified cells. GMOs and mammalian cells would not survive outside laboratory conditions. Where potentially harmful effects are identified estimate: 1) consequence/severity of effects Negligible Negligible Negligible Select from: Select from: Select from: Select from: Mark up nable(s) by circling for each tell progress of the most path to a indicate whether or not it is required taking account of the provisional contamment level assigned to protect human health and safety and any additional control measures required to reduce all risks to low/effectively zero. CLASSIFICATION AND ASSIGNMENT OF FINAL CONTROL MEASURES Consider each into our Table 1s indicate whether or not it is required taking account of the provisional contamment level assigned to protect human health and safety and any additional control measures researcy to counted specific activities and environment risks. Consider also Tables Ib and It where appropriate Classi	RISK	ASSESSMENT FOR ENVIRONMENTAL HARM	GUIDANCE
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Assign corresponding level of containment: The class number indicates the			which a control measure is required indicates the Class of the activity –
	Assign	n corresponding level of containment:	
	Conta	inment Level: 1	

specify any other control measures required	
After consideration of the procedures to be undertaken, no additional need was identified for additional control measures to protect human health and safety	

Table 1a: Containment Measures for Activities involving GMMs in Laboratories

Where an item is listed as "may be required" this indicates the item to be an option at that particular containment level and its requirement should be determined by the risk assessment for the particular activity concerned. Delete no or yes as indicated by risk assessment.

Containment Measures	Containment Levels			
	1	2	3	4
Isolated laboratory suite	not required	not required	required	required
Laboratory sealable for fumigation	not required	not required	required	required
Surfaces impervious, resistant and easy to clean	required for bench	required for bench	required for bench and floor	required for bench, floor, ceiling and walls
Entry to lab via airlock	not required	not required	may be required no / yes	required
Negative pressure relative to the pressure of the immediate surroundings	not required	may be required no / yes	required	required
HEPA filtered extract and input air	not required	not required	required for extract	required for input and extract
Microbiological safety cabinet/enclosure	not required	may be required no / yes	required	required (class 3)
Autoclave	required on site	required in the building	required in the lab suite	required in lab (double ended)
Access restricted to authorised personnel	not required	required	required	required
Specified measures to control aerosol dissemination	not required	required so as to minimise	required so as to prevent	required so as to prevent
Shower	not required	not required	may be required	required
Protective clothing	suitable protective clothing required	suitable protective elothing required	suitable protective elothing required	complete change of clothing and footwear
Gloves	not required	may be required no / yes	required	required
Control of disease vectors (eg rodents, insects) which could disseminate GMMs	may be required no / yes	required	required	required
Specified disinfection procedures in place	may be required no / yes	required	required	required
Inactivation of GMMs in effluent from handwashing sinks, showers etc	not required	not required	may be required	required
Inactivation of GMMs in contaminated material and waste	required by validated means	required by validated means	required by validated means, with waste inactivated in the laboratory suite	required by validated means, with waste inactivated within the laboratory
Laboratory to contain its own equipment	not required	not required	required	required
An observation window or alternative so that occupants can be seen	may be required no / yes	may be required no / yes	required	required
Safe storage of GMMs	may be required no / yes	required	required	secure storage required
Written records of staff training	not required	may be required no / yes	required	required

CLASSIFICATION	CLASS 1	CLASS 2	CLASS 3	CLASS 4
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